

Software Applications to Assist with E-mail Compliance

| Solution | Cost | Good for |
|--|---|--|
| Email Archive System (EAS) by Advisorsites www.advisorsites.com | \$1,000 per year for the first five e-mail addresses \$250 set-up fee. Each additional address is \$75/year. | Small firms who want a “backup to their backup” or a means of capturing e-mail if they do not currently have a centralized e-mail database |
| Contact Management Software | Cost varies | Firms that have workflow needs beyond the basics of Outlook or just managing e-mail |
| Seccas www.seccas.com | Pricing based on volume – for average advisory firm: \$2,040 per year \$79 set up fee | Larger firms wanting more comprehensive features than just archiving |
| Advisormail Lite by AdvisorSquare http://www.advisormail.net | Pricing model is based on number of e-mail addresses. For average advisory firm \$2,500 per year plus \$1,500 set up fee | Larger firms wanting more comprehensive features than just archiving |